



Member : Central Depository Services (India) Ltd
SEBI Reg. No. IN-DP-CDSL-640-2011 • DP ID-12072800
 311-318, Krishna Tower, 15/63, Civil Lines, Kanpur-208001
 Ph No.: 0512-2303040, 2304623, Fax No. 0512-2305140
 E-mail : depository@chuknoo.com

Branch Code _____
Branch Name _____

Internal Ref. No. _____

Demat Account No. _____

(To be filled by DP)

Received Through _____

Date

D	D	M	M	Y	Y	Y	Y
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Instructions to the Applicant

General

1. All details are to be filled in CAPITAL LETTERS.
2. KYC form to be filled and signed by all the applicants, individually.
3. Thumb impressions and signatures other than English, Hindi or any of the other languages not contained in the 8th Schedule of the Constitution of India must be attested by a Magistrate or by a banker with the Public or a Special Executive Magistrate or by a banker with the name, address and telephone numbers of the attesting authority.
4. Minor account cannot be opened in joint names.
5. Incase of Minor account, copy of birth certificate of the minor to be submitted.
6. Incase of HUF, the account can be opened only in the name of Karta and declaration from all the coparceners as per our format is required.
7. Do not suffix "HUF", "& CO" in the name column.
8. Name on the application to be same as of PAN copy submitted.
9. Signature on the application to be same as of PAN copy submitted.
10. Address on the form to be same as of address proof submitted. PINCODE should be available on the form as well as on the proof.
11. Corrections if any, and blank column filled-in the stamped documents like DP Agreement, POA etc are duly authenticated by all the applicants.
12. Account cannot be opened by a Power of Attorney holder.
13. Incase of NRIs separate accounts to be opened for NRE and NRO.
14. Before signing the form, ensure that the information provided are in order.
15. All communications shall be sent to the sole/1st applicant's address only.

KYC/Supporting Documents:

1. Self attested copy of PAN of all applicants to be submitted. Incase of HUF PAN copy of both Karta and HUF needs to be submitted.
2. Self attested copy of valid ID Proof of all applicants to be submitted, if PAN copy submitted is not clear.
3. Self attested copy of valid Address Proof of all applicants to be submitted in case of Local/permanent address is different from correspondence address a separate proof should be provided.
4. Please produce the originals of the supporting documents to the bank official for verification.

Photographs:

1. Is required for all the applicants.
2. Incase of HUF, photograph of Karta is required.
3. To be affixed and signed across by the respective individuals i.e. part of signature to be on the form. It is advisable to sign in a permanent marker pen.

Bank Details:

1. Of the 1st applicant should be provided.
2. Copy of cancelled cheque leaf is to be provided.
3. Complete Bank address to be provided

Nomination:

1. It is optional, but advisable.
2. Nomination can be made only by an individual holding a Beneficiary owner Account on their behalf singly or jointly. Nonindividuals including society, trust, body corporate, partnership firm, Karta of HUF, holder of Power of Attorney cannot be nominated.
3. A Minor can be nominated. In that event, the name and address of the Guardian of the Minor Nominee shall be provided by the Beneficial Owner.
4. Nomination in respect of the beneficiary owner account stands rescinded upon closure of the beneficiary owner account. Similarly the nomination in respect of the securities shall stand terminated upon transfer of the securities.
5. Transfer of securities in favour of a Nominee shall be a valid discharge by the depository against the legal heir.
6. The cancellation of nomination can be made by individuals only holding beneficiary owner accounts on their own behalf, singly or jointly by the same persons who made the original nomination. Non Individuals including society, trust, body corporate, partnership firm, Karta of HUF, holder of Power of Attorney cannot cancel the nomination. If the beneficiary' account is held jointly, all the joint holders will sign the cancellation form.
7. On cancellation of the nomination, the nomination shall stand rescinded and the depository shall not be under any obligation to transfer the securities in favour of the Nominee.

DP Agreement

1. To be signed by all the applicant and duly witnessed with signature, name and full address of the witness.

Power of Attorney:


1. POA holder also subject to KYC compliance, hence ID and Address proof of POA holder also needs to be submitted.
2. Notarised copy of POA with the signature of Donor and Donee should be submitted.

Please refer for details of documents to be submitted for ID and Address Proof on page2.

Proof of Identity and proof of correspondence is compulsory for all holders in the Demat Account
Introduction Documents furnished (✓) for proof of Identity and Correspondence Address.



Proof of Identity (Anyone)	Proof of Correspondence Address (Anyone)
Pan Card (Mandatory)	Ration Card
Voter ID Card	Voter ID Card
Driving Licence	Driving Licence
Photo Credit Cards/Photo Debit Cards issued by Banks.	Bank Statement/Bank Passbook
	Passport
Identity Card/Document with Applicant's Photo issued by a) Central/State Government and its Departments b) Statutory/Regulatory Authorities c) Public Sector undertakings d) Scheduled Commercial Banks e) Public Financial Institutions f) Colleges affiliated to universities (this can be treated as valid only till the time the applicant(s) is/are student/students) g) Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc. to their Members	Verified documents of Electricity Bill (not more than 2 months old from the bill date) Leave and License and License Agreement/ Agreement for Sale, Landline Telephone Bill (not more than 1 month old from this date)
	Identity card/document with applicant's photo & address issued by a) Central/State Government and its Departments b) Statutory/Regulatory Authorities c) Public Sector undertakings d) Scheduled Commercial Banks e) Public Financial Institutions f) Colleges affiliated to universities (this can be treated as valid only till the time the applicant is a student) g) Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc. to their Members.
	Self-declaration by High Court & Supreme Court Judges, giving the new address in respect of their own accounts.

Checklist for Individual/Minor/HUF/NRI/Applicant(s) :	For the Applicant		For DP use	
	Yes	No	Yes	No
General				
Application form filled in CAPITAL Letters				
Self attested copy of PAN submitted by All applicants.				
Incase the photo on PAN copy is not clear, another self attested ID proof as per details above				
Self attested copy of Address Proof of All the applicants as per details given above				
KYC form self attested ... of address				
Duly filled, signed and submitted by All applicants				
Application Form				
Photo on the form matches with ID Proof.				
Blank columns duly cancelled				
Corrections if any, are duly authenticated by All the applicants				
Name on the form is exactly as on PAN Card				
Signature on the form is exactly as on PAN copy				
Address on the form is exactly as on the proof.				
Address provided is complete with PIN code.				
Photograph affixed and signed across, i.e. part of the signature is on the form				
Bank account details of sole/1st applicant				
Copy of cancelled cheque leaf is provided				
Bank Account number mentioned is same as of the cheque leaf Copy provided.				
Type of account mentioned				
Complete address of the bank, i.e. Name and Address in full with Pin code is provided.				
MICR number duly filled-in				
Nomination form (Applicable only for Individual & NRI accounts)				
Incase of Minor Nominee, self attested photocopy of date of birth certificate should be provided				
Incase of Minor Nominee, Guardian's PAN provided				
Witness signature of 2 persons, with their full name and complete address				
Depository Participant (DP) Agreement				
Date of execution is after the Stamp Paper date.				
Signature of ALL the applicants made wherever  mark is provided.				
Witness Signature, Full Name and Complete Address.				
Debit Authorisation				
Authorised bank Savings/Current account number of the person's account will be debited for recovery of demat account charges				
Signature of Saving/Current account holders				
Signature, Full Name and Complete address of the Witness				
Schedule of Charges				
Signed by ALL the applicants				
Power of Attorney, if Applicable				
Notarised copy with signature of both Donor and Donee.				
Photograph of POA holder				
Consent letter signed by the Donor and Donee.				
HUF Account				
Coparceners declaration as per format provided (with Name, Age, Gender and Relationships)				
NRI Account				
RBI Approval is attached.				
Address Proof for foreign address				
Others (Please specify)				



Important Instructions:

- A) Fields marked with '*' are mandatory fields.
- B) Please fill the form in English and in BLOCK letters.
- C) Please fill the date in DD-MM-YYYY format.
- D) Please read section wise detailed guidelines / instructions at the end.
- E) List of State / U.T code as per Indian Motor Vehicle Act, 1988 is available at the end.
- F) List of two character ISO 3166 country codes is available at the end.
- G) KYC number of applicant is mandatory for update application.
- H) For particular section update, please tick (✓) in the box available before the section number and strike off the sections not required to be updated.

For office use only

Application Type* New Update
 (To be filled by financial institution) KYC Number (Mandatory for KYC update request)
 Account Type* Normal Simplified (for low risk customers) Small

1. PERSONAL DETAILS (Please refer instruction A at the end)

	Prefix	First Name	Middle Name	Last Name
<input type="checkbox"/> Name* (Same as ID proof)	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Maiden Name (If any*)	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Father / Spouse Name*	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Mother Name*	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Date of Birth*	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Gender*	<input type="checkbox"/> M- Male	<input type="checkbox"/> F- Female	<input type="checkbox"/> T-Transgender	
Marital Status*	<input type="checkbox"/> Married	<input type="checkbox"/> Unmarried	<input type="checkbox"/> Others	
Citizenship*	<input type="checkbox"/> IN- Indian	<input type="checkbox"/> Others (ISO 3166 Country Code <input type="text"/>)		
Residential Status*	<input type="checkbox"/> Resident Individual	<input type="checkbox"/> Non Resident Indian		
	<input type="checkbox"/> Foreign National	<input type="checkbox"/> Person of Indian Origin		
Occupation Type*	<input type="checkbox"/> S-Service (<input type="checkbox"/> Private Sector	<input type="checkbox"/> Public Sector	<input type="checkbox"/> Government Sector	
	<input type="checkbox"/> O-Others (<input type="checkbox"/> Professional	<input type="checkbox"/> Self Employed	<input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student)	
	<input type="checkbox"/> B-Business			
	<input type="checkbox"/> X- Not Categorised			

PHOTO

Signature / Thumb Impression

2. TICK IF APPLICABLE RESIDENCE FOR TAX PURPOSES IN JURISDICTION(S) OUTSIDE INDIA (Please refer instruction B at the end)

ADDITIONAL DETAILS REQUIRED* (Mandatory only if section 2 is ticked)

ISO 3166 Country Code of Jurisdiction of Residence*

Tax Identification Number or equivalent (If issued by jurisdiction)*

Place / City of Birth* ISO 3166 Country Code of Birth*

3. PROOF OF IDENTITY (PoI)* (Please refer instruction C at the end)

(Certified copy of any one of the following Proof of Identity[PoI] needs to be submitted)

<input type="checkbox"/> A- Passport Number	<input type="text"/>	Passport Expiry Date	<input type="text"/>
<input type="checkbox"/> B- Voter ID Card	<input type="text"/>		
<input type="checkbox"/> C- PAN Card	<input type="text"/>		
<input type="checkbox"/> D- Driving Licence	<input type="text"/>	Driving Licence Expiry Date	<input type="text"/>
<input type="checkbox"/> E- UID (Aadhaar)	<input type="text"/>		
<input type="checkbox"/> F- NREGA Job Card	<input type="text"/>		
<input type="checkbox"/> Z- Others (any document notified by the central government)	<input type="text"/>	Identification Number	<input type="text"/>
<input type="checkbox"/> S- Simplified Measures Account - Document Type code	<input type="text"/>	Identification Number	<input type="text"/>

4. PROOF OF ADDRESS (PoA)*

4.1 CURRENT / PERMANENT / OVERSEAS ADDRESS DETAILS (Please see instruction D at the end)

(Certified copy of any one of the following Proof of Address [PoA] needs to be submitted)

Address Type*	<input type="checkbox"/> Residential / Business	<input type="checkbox"/> Residential	<input type="checkbox"/> Business	<input type="checkbox"/> Registered Office	<input type="checkbox"/> Unspecified
Proof of Address*	<input type="checkbox"/> Passport	<input type="checkbox"/> Driving Licence	<input type="checkbox"/> UID (Aadhaar)		
	<input type="checkbox"/> Voter Identity Card	<input type="checkbox"/> NREGA Job Card	<input type="checkbox"/> Others	<input type="text"/> please specify	
	<input type="checkbox"/> Simplified Measures Account - Document Type code	<input type="text"/>			

Address

Line 1*

Line 2

Line 3

District* Pin / Post Code* State / U.T Code* ISO 3166 Country Code*

4.2 CORRESPONDENCE / LOCAL ADDRESS DETAILS * (Please see instruction E at the end)

Same as Current / Permanent / Overseas Address details (In case of multiple correspondence / local addresses, please fill 'Annexure A1')

Line 1*
Line 2
Line 3 City / Town / Village*
District* Pin / Post Code* State / U.T Code* ISO 3166 Country Code*

4.3 ADDRESS IN THE JURISDICTION DETAILS WHERE APPLICANT IS RESIDENT OUTSIDE INDIA FOR TAX PURPOSES* (Applicable if section 2 is ticked)

Same as Current / Permanent / Overseas Address details Same as Correspondence / Local Address details

Line 1*
Line 2
Line 3 City / Town / Village*
State* ZIP / Post Code* ISO 3166 Country Code*

5. CONTACT DETAILS (All communications will be sent on provided Mobile no. / Email-ID) (Please refer instruction F at the end)

Tel. (Off) - Tel. (Res) - Mobile -
FAX - Email ID

6. DETAILS OF RELATED PERSON (In case of additional related persons, please fill 'Annexure B1') (please refer instruction G at the end)

Addition of Related Person Deletion of Related Person KYC Number of Related Person (if available*)

Related Person Type* Guardian of Minor Assignee Authorized Representative
Prefix First Name Middle Name Last Name
Name*
(If KYC number and name are provided, below details of section 6 are optional)

PROOF OF IDENTITY [PoI] OF RELATED PERSON* (Please see instruction (H) at the end)

A- Passport Number Passport Expiry Date
 B- Voter ID Card
 C- PAN Card
 D- Driving Licence Driving Licence Expiry Date
 E- UID (Aadhaar)
 F- NREGA Job Card
 Z- Others (any document notified by the central government) Identification Number
 S- Simplified Measures Account - Document Type code Identification Number

7. REMARKS (If any)

8. APPLICANT DECLARATION

- I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.
- I hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number/email address.

[Signature / Thumb Impression]

Date : -- Place :

Signature / Thumb Impression of Applicant

9. ATTESTATION / FOR OFFICE USE ONLY

Documents Received Certified Copies

KYC VERIFICATION CARRIED OUT BY

Date
Emp. Name
Emp. Code
Emp. Designation
Emp. Branch

[Employee Signature]

INSTITUTION DETAILS

Name
Code

[Institution Stamp]

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Application for Opening Depository Account (For Individuals only)

Please fill this form in **ENGLISH** and in **BLOCK LETTERS**

A. IDENTITY DETAILS														
Name of the applicant														
Father / Husband Name														
Gender	<input type="checkbox"/> Male <input type="checkbox"/> Female					Marital Status				<input type="checkbox"/> Single <input type="checkbox"/> Married				
Date of Birth	D	D	M	M	Y	Y	Y	Y	PAN					
Nationality						Status				<input type="checkbox"/> Resident Individual <input type="checkbox"/> Non Resident <input type="checkbox"/> Foreign National				
Unique Identification Number(UID)/Aadhar, if any														
Specify the proof of Identity submitted														


B. ADDRESS DETAILS													
Correspondence Address													
City/Town/Village						State							
Country						PIN Code							
Telephone No. (R)						Fax No.							
Telephone No. (O)						Mobile No.							
E-mail ID													
Specify the proof of address submitted for Correspondence Address													
Permanent Address (if different from Correspondence Address)													
City/Town/Village						State							
Country						PIN Code							
Specify the proof of Address submitted for Permanent Address													

C. OTHER DETAILS

Gross Annual Income (please specify)		Income Range per annum:	
OR		<input type="checkbox"/> Below ₹ 1,00,000	<input type="checkbox"/> ₹ 1,00,001 to ₹ 5,00,000
		<input type="checkbox"/> ₹ 5,00,001 to ₹ 10,00,000	<input type="checkbox"/> ₹ 10,00,001 to ₹ 25,00,000
		<input type="checkbox"/> More than ₹ 25,00,000	
Net-Worth as on (date) should not be older than 1 year		Net Worth (Amount)	
Occupation	<input type="checkbox"/> Private Sector <input type="checkbox"/> Public Sector <input type="checkbox"/> Government Service <input type="checkbox"/> Business <input type="checkbox"/> Professional <input type="checkbox"/> Agriculturist <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student <input type="checkbox"/> Others (Specify) -----		
Please tick if applicable	<input type="checkbox"/> Politically Exposed Person (PEP) <input type="checkbox"/> Related to Politically Exposed Person		
Any other information			

DECLARATION

I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.

	Signature of the Applicant
Name	
Signature 	

(Signature should be preferably in black ink)

Date :.....(dd/mm/yyyy)

FOR OFFICE USE ONLY

- (Originals verified) True copies of documents received
 (Self-Attested) Self Certified Document copies received

(.....)
Signature of the Authorised Signatory

Seal/Stamp of the intermediary 

Date



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Additional KYC Form for Opening a Demat Account (For Individuals only)

(To be filled by Depository Participant)

Application No.		Date	D	D	M	M	Y	Y	Y	Y									
DP Internal References No.																			
DP ID	1	2	0	7	2	8	0	0	Client ID										

(To be filled by the applicant in **BLOCK LETTERS** in English)

I/We request you to open a demat account in my/ our name as per following details:-

Holder's Details	
Sole / 1st Holder's Name	PAN <table border="1" style="display: inline-table; width: 100%; height: 15px;"></table>
Second Holder's Name	PAN <table border="1" style="display: inline-table; width: 100%; height: 15px;"></table>
Third Holder's Name	PAN <table border="1" style="display: inline-table; width: 100%; height: 15px;"></table>

Name*	<hr/> <hr/>
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* In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned above.

Type of Account (Please tick whichever is applicable)	
Status	Sub-Status
<input type="checkbox"/> Individual	<input type="checkbox"/> Individual Resident <input type="checkbox"/> Individual Director's Relative <input type="checkbox"/> Individual Promoter <input type="checkbox"/> Individual Margin Trading A/C (MANTRA) <input type="checkbox"/> Individual-Director <input type="checkbox"/> Individual HUF / AOP <input type="checkbox"/> Minor <input type="checkbox"/> Others(specify) _____
<input type="checkbox"/> NRI	<input type="checkbox"/> NRI Repatriable <input type="checkbox"/> NRI Repatriable Promoter <input type="checkbox"/> NRI – Depository Receipts <input type="checkbox"/> NRI Non-Repatriable <input type="checkbox"/> NRI Non-Repatriable Promoter <input type="checkbox"/> Others (specify) _____
<input type="checkbox"/> Foreign National	<input type="checkbox"/> Foreign National <input type="checkbox"/> Foreign National - Depository Receipts <input type="checkbox"/> Others (specify) _____

Details of Guardian (in case the account holder is minor)	
Guardian's Name	PAN <table border="1" style="display: inline-table; width: 100%; height: 15px;"></table>
Relationship with the applicant	

I / We instruct the DP to receive each and every credit in my / our account (If not marked, the default option would be 'Yes')	[Automatic Credit] <input type="checkbox"/> Yes <input type="checkbox"/> No
Account Statement Requirement	<input type="checkbox"/> As per SEBI Regulation <input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly
I / We request you to send Electronic Transaction-cum-Holding Statement at the email ID _____	<input type="checkbox"/> Yes <input type="checkbox"/> No
Do you wish to receive dividend / interest directly in to your bank account given below through ECS? (If not marked, the default option would be 'Yes') [ECS is mandatory for locations notified by SEBI from time to time]	<input type="checkbox"/> Yes <input type="checkbox"/> No

Bank Details [Dividend Bank Details]										
Bank Code (9 digit MICR code)										
IFS Code (11 character)										
Account number										
Account type	<input type="checkbox"/> Saving <input type="checkbox"/> Current <input type="checkbox"/> Others (specify) _____									
Bank Name										
Branch Name										
Bank Branch Address										
City		State		Country		PIN Code				

- (i) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or)
 - (ii) Photocopy of the Bank Statement having name and address of the BO
 - (iii) Photocopy of the Passbook having name and address of the BO, (or)
 - (iv) Letter from the Bank.
- In case of options (ii), (iii) and (iv) above, MICR code of the branch should be present / mentioned on the document.

SMS Alert Facility Refer to Terms & Conditions given as Annexure - 2.4	MOBILE NO. +91 _____ [(Mandatory , if you are giving Power of Attorney (POA)] (if POA is not granted & you do not wish to avail of this facility, cancel this option).	
Easi	To register for <i>easi</i> , please visit our website www.cdslindia.com . <i>Easi</i> allows a BO to view his ISIN balances, transactions and value of the portfolio online.	

Nomination Details

Nomination Registration No.	Dated

I/We the sole holder / Joint holders / Guardian (in case of minor) hereby declare that :

- I/We **do not wish to nominate any one for this demat account.**
- I/We **nominate** the following person who is entitled to receive security balances lying in my/our account, particulars whereof are given below, in the event of my / our death.

Full Name of the Nominee										
Address										
City		State								
Country		PIN Code								
Telephone No.		Fax No.								
E-mail ID										
Relation with BO (If any)										
Date of birth (mandatory If nominee is a minor)										

As the nominee is a minor as on date, to receive the securities in this account on behalf of the nominee in the event of the death of the Sole holder / all Joint holders, I/We appoint following person to act as **Guardian** :



Full Name of Guardian of Nominee					
Address					
City		State			
Country		PIN Code			
Telephone No.		Fax No.			
E-mail ID					
Relationship of Guardian with Nominee					

This nomination shall supersede any prior nomination made by me / us and also any testamentary document executed by me / us.

Note : Two witnesses shall attest signature(s) / thumb impression(s)

Details of the Witness		
Name of witness	First Witness	Second Witness
Address of witness		
Signature of witness		

I/We have read the terms & conditions DP-BO agreement and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I / We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We agree and undertake to intimate the DP any change(s) in the details / Particulars mentioned by me / us in this form. I/We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.

	First/Sole Holder or Guardian (in case of Minor)	Second Holder	Third Holder
Name			
Signatures			

(Signatures should be preferably in black ink)

7

..... (Please Tear Here)

Application No.:

Acknowledgement Receipt

Date:.....

We hereby acknowledge the receipt of the Account Opening Application Form:

Name of the Sole / First Holder	
Name of Second Holder	
Name of Third Holder	

7

Depository Participant Seal and Signature



Rights and Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI and Depositories

General Clause

1. The Beneficial Owner and the Depository participant (DP) shall be bound by the provisions of the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996, Rules and Regulations of Securities and Exchange Board of India (SEBI), Circulars/Notifications/Guidelines issued there under, Bye Laws and Business Rules/Operating Instructions issued by the Depositories and relevant notifications of Government Authorities as may be in force from time to time.
2. The DP shall open/activate demat account of a beneficial owner in the depository system only after receipt of complete Account opening form, KYC and supporting documents as specified by SEBI from time to time.

Beneficial Owner information

3. The DP shall maintain all the details of the beneficial owner(s) as mentioned in the account opening form, supporting documents submitted by them and/or any other information pertaining to the beneficial owner confidentially and shall not disclose the same to any person except as required by any statutory, legal or regulatory authority in this regard.
4. The Beneficial Owner shall immediately notify the DP in writing, if there is any change in details provided in the account opening form as submitted to the DP at the time of opening the demat account or furnished to the DP from time to time.

Fees/Charges/Tariff

5. The Beneficial Owner shall pay such charges to the DP for the purpose of holding and transfer of securities in dematerialized form and for availing depository services as may be agreed to from time to time between the DP and the Beneficial Owner as set out in the Tariff Sheet provided by the DP. It may be informed to the Beneficial Owner that "*no charges are payable for opening of demat accounts*"
6. In case of Basic Services Demat Accounts, the DP shall adhere to the charge structure as laid down under the relevant SEBI and/or Depository circulars/directions/notifications issued from time to time.
7. The DP shall not increase any charges/tariff agreed upon unless it has given a notice in writing of not less than thirty days to the Beneficial Owner regarding the same.

Dematerialization

8. The Beneficial Owner shall have the right to get the securities, which have been admitted on the Depositories, dematerialized in the form and manner laid down under the Bye Laws, Business Rules and Operating Instructions of the depositories.



Signature : _____

Separate Accounts

9. The DP shall open separate accounts in the name of each of the beneficial owners and securities of each beneficial owner shall be segregated and shall not be mixed up with the securities of other beneficial owners and/or DP's own securities held in dematerialized form.
10. The DP shall not facilitate the Beneficial Owner to create or permit any pledge and /or hypothecation or any other interest or encumbrance over all or any of such securities submitted for dematerialization and/or held in demat account except in the form and manner prescribed in the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996 and Bye-Laws/Operating Instructions/Business Rules of the Depositories.

Transfer of Securities

11. The DP shall effect transfer to and from the demat accounts of the Beneficial Owner only on the basis of an order, instruction, direction or mandate duly authorized by the Beneficial Owner and the DP shall maintain the original documents and the audit trail of such authorizations.
12. The Beneficial Owner reserves the right to give standing instructions with regard to the crediting of securities in his demat account and the DP shall act according to such instructions.

Statement of account

13. The DP shall provide statements of accounts to the beneficial owner in such form and manner and at such time as agreed with the Beneficial Owner and as specified by SEBI/depository in this regard.
14. However, if there is no transaction in the demat account, or if the balance has become Nil during the year, the DP shall send one physical statement of holding annually to such BOs and shall resume sending the transaction statement as and when there is a transaction in the account.
15. The DP may provide the services of issuing the statement of demat accounts in an electronic mode if the Beneficial Owner so desires. The DP will furnish to the Beneficial Owner the statement of demat accounts under its digital signature, as governed under the Information Technology Act, 2000. However if the DP does not have the facility of providing the statement of demat account in the electronic mode, then the Participant shall be obliged to forward the statement of demat accounts in physical form.
16. In case of Basic Services Demat Accounts, the DP shall send the transaction statements as mandated by SEBI and/or Depository from time to time.

Manner of Closure of Demat account

17. The DP shall have the right to close the demat account of the Beneficial Owner, for any reasons whatsoever, provided the DP has given a notice in writing of not less than thirty days to the Beneficial Owner as well as to the Depository. Similarly, the Beneficial Owner shall have the right to close his/her demat account held with the DP provided no



Signature : _____

charges are payable by him/her to the DP. In such an event, the Beneficial Owner shall specify whether the balances in their demat account should be transferred to another demat account of the Beneficial Owner held with another DP or to rematerialize the security balances held.

18. Based on the instructions of the Beneficial Owner, the DP shall initiate the procedure for transferring such security balances or rematerialize such security balances within a period of thirty days as per procedure specified from time to time by the depository. Provided further, closure of demat account shall not affect the rights, liabilities and obligations of either the Beneficial Owner or the DP and shall continue to bind the parties to their satisfactory completion.

Default in payment of charges

19. In event of Beneficial Owner committing a default in the payment of any amount provided in Clause 5 & 6 within a period of thirty days from the date of demand, without prejudice to the right of the DP to close the demat account of the Beneficial Owner, the DP may charge interest at a rate as specified by the Depository from time to time for the period of such default.
20. In case the Beneficial Owner has failed to make the payment of any of the amounts as provided in Clause 5&6 specified above, the DP after giving two days notice to the Beneficial Owner shall have the right to stop processing of instructions of the Beneficial Owner till such time he makes the payment along with interest, if any.

Liability of the Depository

21. As per Section 16 of Depositories Act, 1996,
 1. Without prejudice to the provisions of any other law for the time being in force, any loss caused to the beneficial owner due to the negligence of the depository or the participant, the depository shall indemnify such beneficial owner.
 2. Where the loss due to the negligence of the participant under Clause (1) above, is indemnified by the depository, the depository shall have the right to recover the same from such participant.

Freezing/ Defreezing of accounts

22. The Beneficial Owner may exercise the right to freeze/defreeze his/her demat account maintained with the DP in accordance with the procedure and subject to the restrictions laid down under the Bye Laws and Business Rules/Operating Instructions.
23. The DP or the Depository shall have the right to freeze/defreeze the accounts of the Beneficial Owners on receipt of instructions received from any regulator or court or any statutory authority.

Redressal of Investor grievance

24. The DP shall redress all grievances of the Beneficial Owner against the DP within a period of thirty days from the date of receipt of the complaint.



Signature : _____

Authorized representative

25. If the Beneficial Owner is a body corporate or a legal entity, it shall, along with the account opening form, furnish to the DP, a list of officials authorized by it, who shall represent and interact on its behalf with the Participant. Any change in such list including additions, deletions or alterations thereto shall be forthwith communicated to the Participant.

Law and Jurisdiction

26. In addition to the specific rights set out in this document, the DP and the Beneficial owner shall be entitled to exercise any other rights which the DP or the Beneficial Owner may have under the Rules, Bye Laws and Regulations of the respective Depository in which the demat account is opened and circulars/notices issued there under or Rules and Regulations of SEBI.

27. The provisions of this document shall always be subject to Government notification, any rules, regulations, guidelines and circulars/ notices issued by SEBI and Rules, Regulations and Bye-laws of the relevant Depository, where the Beneficial Owner maintains his/ her account, that may be in force from time to time.

28. The Beneficial Owner and the DP shall abide by the arbitration and conciliation procedure prescribed under the Bye-laws of the depository and that such procedure shall be applicable to any disputes between the DP and the Beneficial Owner.

29. Words and expressions which are used in this document but which are not defined herein shall unless the context otherwise requires, have the same meanings as assigned thereto in the Rules, Bye-laws and Regulations and circulars/notices issued there under by the depository and /or SEBI

30. Any changes in the rights and obligations which are specified by SEBI/Depositories shall also be brought to the notice of the clients at once.

31. If the rights and obligations of the parties hereto are altered by virtue of change in Rules and regulations of SEBI or Bye-laws, Rules and Regulations of the relevant Depository, where the Beneficial Owner maintains his/her account, such changes shall be deemed to have been incorporated herein in modification of the rights and obligations of the parties mentioned in this document.




Signature : _____

Rate Structure of CSL DP

S.No.	Particulars	Rate
1.	(a) Account opening Charges (Individual)	NIL
	(b) Account opening Charges (Corporate)	NIL
	(c) Stamp Fee (At actual) For account opening For Power of Attorney	Rs. 100.00 Rs. 100.00
	(d) Kit charges	Rs. 30.00
	(e) KRA upload charge	Rs. 50.00
2.	Annual Maintenance Charges: (a) Individual : (b) Corporate : Waiver for 1 st full year Annual Maintenance charges (AMC) for existing B.O. A/c holder with other D.P. (s). The Annual Maintenance Charges will be applicable from the 1 st date of the month in which the account is opened. It will be payable upfront. [Annual maintenance charges for B.O. account will be Rs. NIL, if Interest free security deposit of Rs. 2500 for Individual and for corporate Rs. 5000/- (Refundable only after 3 years)].	Rs. 250.00 Rs. 750.00
3.	Dematerialization	Rs. 2.00 per Certificate + Rs. 25.00 per postage/Courier
4.	Demat Rejection	Rs. 25.00 per Transaction
5.	Rematerialization	Rs. 15.00 per Certificate + Rs. 25.00 for Postage/Courier
6.	Transaction Charges (Debit):- Delivery given to CSL Pool Account :- (i) For Power of Attorney (ii) For General Case Delivery given to any other Account- Rs. 20.00 per Debit or 0.01% of the value of transaction whichever is higher.	Rs. 10.00 Rs. 15.00
7.	Pledge Creation/Confirmation/Closure	Rs. 25.00 or 0.01% of the value of transaction whichever is higher.
8.	Pledge Invocation	Rs. 25.00 or 0.01% of the value of transaction whichever is higher.
9.	Late Fee per Transaction Slip (As decided by the Exchange from time to time)	Rs. 10.00

- Charges for other services not covered above, be notified from time to time separately.
- Service Tax and Cess will be charged extra as applicable from time to time.
- Chuknoo Securities Limited reserves right to revise the above rate structure from time to time giving 30 days notice.
- If the date of submission and date of execution are same, such instructions will be executed on a "best effort basis" at client risk.
- Individual client will be required to pay Rs. 500/- and corporate clients Rs. 1000 as upfront towards depository charges.
- Depository charges of broking clients will be debited to their trading accounts.
- All charges are payable on monthly Basis and delayed payment will be liable for interest @ 1.5% per month.
- Chuknoo Securities Ltd. may suspend/freeze the depository services of the account holder on non payment of outstanding Bills.
- Modifications in client master Details Rs. 50/- Per Request.
- Delivery Instruction Booklet Rs. 1/- Per leaflet.
- All reference prices will be BSE price.


1st Holder Sign.


2nd Holder Sign.


3rd Holder Sign.

Member : Central Depository Services (India) Ltd
SEBI Reg. No. IN-DP-CDSL-640-2011 • DP ID-12072800
311-318, Krishna Tower, 15/63, Civil Lines, Kanpur-208001
Ph No.: 0512-2303040, 2304623, Fax No. 0512-2305140
E-mail : depository@chuknoo.com

Terms and Conditions-cum-Registration/Modification Form for receiving SMS Alerts from CDSL

Definitions :

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise:

1. "Depository" means Central Depository Services (India) Limited a company incorporated in India under the Companies Act 1956 and having its registered office at 17th Floor, P.J. Towers, Dalal Street, Fort, Mumbai 400001 and all its branch offices and includes its successors and assigns.
2. 'DP' means Depository participant of CDSL. The term covers all types of DPs who are allowed to open demat accounts for investors.
3. 'BO' means an entity that has opened a demat account with the depository. The term covers all types of demat accounts, which can be opened with a depository as specified by the depository from time to time.
4. SMS means "Short Messaging Service"
5. "Alerts" Means a customized SMS sent to the BO over the said mobile phone number.
6. "Service Provider" means a cellular service provider(s) with whom the depository has entered/will be entering into an arrangement for providing the SMS alerts to the BO.
7. "Service" means the service of providing SMS alerts to the BO on best effort basis as per these terms and conditions.

Availability :

1. The service will be provided to the BO at his/her request and at the discretion of the depository. The service will be available to those account holders who have provided their mobile numbers to the depository through their DP. The services may be discontinued for a specific period/indefinite period, with or without issuing any prior notice for the purpose of security reasons or system maintenance or for such other reasons as may be warranted. The depository may also discontinue the service at any time without giving prior notice for any reason whatsoever.
2. The service is currently available to the BOs who are residing in India.
3. The alerts will be provided to the BOs only if they remain within the range of the service provider's service area or within the range forming part of the roaming network of the service provider.
4. In case of joint accounts and non-individual accounts the service will be available, only to one mobile number i.e. to the mobile number as submitted at the time of registration/modification.
5. The BO is responsible for promptly intimating to the depository in the prescribed manner any change in mobile number, or loss of handset, on which the BO wants to receive the alerts from the depository. In case of change in mobile number not intimated to the depository, the SMS alerts will continue to be sent to the last registered mobile phone number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of SMS alerts sent on such mobile number.

Receiving Alerts :

1. The depository shall send the alerts to the mobile phone number provided by the BO while registering for the service or to any such number replaced and informed by the BO from time to time. Upon such registration/change, the depository shall make every effort to update the change in mobile number within a reasonable period of time. The depository shall not be responsible for any event of delay or loss of message in this regard.
2. The BO acknowledges that the alerts will be received only if the mobile phone is in 'ON' and in a mode to receive the SMS. If the mobile phone is in 'Off' mode i.e. unable to receive the alerts then the BO may not get/get after delay any alerts sent during such period.
3. The BO also acknowledges that the readability, accuracy and timeliness of providing the service depend on many factors including the infrastructure, connectivity of the service provider. The depository shall not be responsible for any non-delivery, delayed delivery or distortion of the alert in any way whatsoever.
4. The BO further acknowledges that the service provided to him is an additional facility provided for his convenience and is susceptible to error, omission and/or inaccuracy. In case the BO observes any error in the information provided in the alert, the BO shall inform the depository and/or the DP immediately in writing and the depository will make best possible efforts to rectify the error as early as possible. The BO shall not hold the depository liable for any loss, damages, etc. that may be incurred/ suffered by the BO on account of opting to avail SMS alerts facility.
5. The BO authorized the depository to send any message such as promotional, greeting or any other message that the depository may consider appropriate, to the BO. The BO agrees to an ongoing confirmation for use of name, email address and mobile number for marketing offers between CDSL and other entity.
6. The BO agrees to inform the depository and DP in writing of any unauthorized debit to his BO account/unauthorized transfer of securities from his BO account, immediately, which may come to his knowledge on receiving SMS alerts. The BO may send an email to CDSL at complaints@cdslindia.com. The BO is advised not to inform the service provider about any such unauthorized debit to transfer to securities from his BO account by sending a SMS back to the service provider as there is no reverse communication between the service provider and the depository.
7. The information sent as an alert on the mobile phone number shall be deemed to have been received by the BO and the depository shall not be under any obligation to confirm the authenticity of the person (s) receiving the alert.
8. The depository will make best efforts to provide the service. The BO cannot hold the depository liable for non-availability of the service in any manner whatsoever.

Signatures



Sole/First Holder Sign.



2nd Holder Sign.



3rd Holder Sign.

9. If the BO finds that the information such as mobile number etc., has been changed with out proper authorization, the BO should be immediately inform the DP in writing.

Fees :

Depository reserves the right to charge such fees from time as it deems fit for providing this service to the BO.

Disclaimer :

The depository shall make reasonable efforts to ensure that the BO's personal information is kept confidential. The depository does not warranty the confidentiality or security of the SMS alerts transmitted thought a service provider. Further, the depository makes no warranty or representation of any kind in relation to the system and the network or their function or their performance or for any loss or damage whenever and howsoever suffered or incurred by the BO or by any person resulting from or in connection with availing of SMS alerts facility. The Depository gives no warranty with respect to the quality of the services provided by the service provider. The Depository will not be liable for any unauthorized use or access to the information and/or SMS alert sent on the mobile phone number of the BO or for fraudulent, duplicate or erroneous use/misuse of such information by any third person.

Liability and Indemnity:

The Depository shall not be liable for any breach of confidentiality by the service provider or by any third person due to unauthorized access to the information meant for the BO. In consideration of the depository providing the service, the BO agrees to indemnify and deep safe, harmless and Indemnified the depository and its official from any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever which a depository may at any time incur, sustain, suffer or be put to as a consequence of or arising out of interference with or misuse, Improper or fraudulent use of the service by the BO.

Amendments :

The depository may amend the terms and conditions at any time with or without giving any prior notice the BOs. Any such amendments shall be binding on the BOs who are already registered as user of this service.

Governing Law and Jurisdiction:

Providing the Service as outlined above shall be governed by the laws of India and will be subject to the exclusive jurisdiction or the courts in Mumbai.

I/We wish to avail the SMS Alerts facility provided by the depository on my/our mobile number provided in the registration from subject to the terms and conditions mentioned below. **I/We consent to CDSL providing to the service provider such information pertaining to account/transactions in my/our account as is necessary for the purposes of generating SMS Alerts by service provider, to be sent to the said mobile number.**

I/We have read and understood the terms and conditions mentioned above and agree to abide by them and any amendments thereto made by the depository from time to time. I/We further undertake to pay fee charges as may be levied by the depository from time to time.

I/We further understand that the SMS alerts would be sent for a maximum four ISINs at a time. If more than four debits take place, the BOs would be required to take up the matter with their DP.

I/We am/are aware that mere acceptance of the registration from does not imply in any way that the request has been accepted by the depository for providing the service.

I/We provided the following information for the purposes of **REGISTRATION/MODIFICATION** (Please cancel out what is not applicable)

BO ID	1	2	0	7	2	8	0	0												
	(Please write your 8 digit DP ID)								(Please write your 8 digit Client ID)											

Sole / First Holder's Name _____

Second Holder's Name _____

Third Holder's Name _____




Mobile Number on which messages are to be sent

+91																			
(Please write only the mobile number without prefixing country code or zero)																			

The mobile number is registered in the name of : _____

Email ID : _____
 (Please write one ONE valid email ID on which communication; if any, is to be sent)

Signatures

 _____ Sole/First Holder Sign.	 _____ 2nd Holder Sign.	 _____ 3rd Holder Sign.
--	---	---

Place : _____

Date : _____






Member : Central Depository Services (India) Ltd
 SEBI Reg. No. IN-DP-CDSL-640-2011 • DP ID-12072800
 311-318, Krishna Tower, 15/63, Civil Lines, Kanpur-208001
 Ph No.: 0512-2303040, 2304623, Fax No. 0512-2305140
 E-mail : depository@chuknoo.com

(Perforated Card)

(To be filled by the Depository participant)

DP ID	1	2	0	7	2	8	0	0	Client ID								
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	First/Sole Holder	Second Joint Holder	Third Joint Holder
Name			
Specimen Signature	 _____	 _____	 _____

Please Tear Here

Instructions for Applicants

1. If the Clearing member is a Sole Proprietor or an Individual, then the Individual Account Opening Form should be filled in.
2. Signatures can be in English, Hindi or any of the other Languages contained in the 8th schedule of the constitution of India. Thumb impressions and signatures other than the above mentioned languages must be attested by a magistrate or a Notary Public or a Special Executive Magistrate under his/her official seal.
3. Details of the Names, Address and Tel. No. etc, of the Magistrate/Notary Public/Special Executive magistrate under his/her official seal.
4. In case of additional signatures, separate annexure should be attached to the application form.
5. In case of applications under a Power of Attorney, the relevant Power of Attorney or the certified and duly notarized copy thereof, Name of the POA, Signature of the POA, Must be lodged with the DP along with the application.
6. All correspondence/queries shall be addressed to the First/Sole Applicant only.
7. Suffix refers to Mr. X Junior or Mr. X Senior or lord y I or Lord Y II etc.
8. Where the holder is a minor, person lawfully entitled to act on behalf of the minor should sign the nomination.
9. Strike off whichever is not applicable.





Board Resolution (Format)
(On the Company Letterhead)

Certified true copy of the Board Resolution of the Board of Directors of passed at a meeting of the Board held on..... at the Registered Office of the company at and duly recorded in the Minute Book of the company.

“Resolved that a Beneficiary Account for the company be opened with **CHUKNOO SECURITIES LTD.** In the name and style of for dealing in the Depository/Electronic segment of the various Stock Exchanges And..... be hereby authorized to negotiate and finalise the terms and conditions for opening the account and completing the formalities”.

“Further resolved that either of be individually authorized to operate the account on behalf of the company.

Further resolved that the said resolution be communicated to **CHUKNOO SECURITIES LTD.** By the Chairman of the meeting. Under the common seal of the company.

..... (Please Tear Here)

(To be filled in by CSL)

I verify that the Account Opening form is in order

Name :		Checked by	
Employee Code :		Entered in CDSL	
Signature:		Authenticated in CDSL	
Date:		Released in CDAS	

..... (Please Tear Here)

(To be filled by the Depository Participant)

Application No.:

Acknowledgement Receipt

Date:.....

We hereby acknowledge the receipt of the Account Opening Application Form:

Name of the Sole / First Holder	
Name of Second Holder	
Name of Third Holder	

Depository Participant Seal and Signature



(Please Tear Here)

For any Query Contact :



Member : Central Depository Services (India) Ltd
SEBI Reg. No. IN-DP-CDSL-640-2011 • DP ID-12072800
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